# MULTIPLIERS AND ESSENTIAL NORM ON THE DRURY-ARVESON SPACE

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ABSTRACT. It is well known that for multipliers f of the Drury-Arveson space  $H_n^2$ ,  $||f||_{\infty}$  does not dominate the operator norm of  $M_f$ . We show that in general  $||f||_{\infty}$  does not even dominate the essential norm of  $M_f$ . A consequence of this is that there exist multipliers f of  $H_n^2$  for which  $M_f$  fails to be essentially hyponormal, i.e., if K is any compact, self-adjoint operator, then the inequality  $M_f^*M_f - M_fM_f^* + K \ge 0$  does not hold.

### 1. INTRODUCTION

Let **B** denote the open unit ball  $\{z : |z| < 1\}$  in  $\mathbb{C}^n$ . In this paper, the complex dimension n is assumed to be greater than or equal to 2. An analogue of the classic Hardy space is the space  $H_n^2$  of analytic functions on **B** introduced by Drury [8] and Arveson [2]. Because of its connection to various topics in operator theory, e.g. the von Neumann inequality for commuting row contractions,  $H_n^2$  has been the subject of intense recent studies [1-7,9,10,12].

Recall that the Drury-Arveson space  $H_n^2$  is a reproducing kernel Hilbert space with

$$K(z,w) = \frac{1}{1 - \langle z, w \rangle}, \quad z, w \in \mathbf{B},$$

as its kernel [2, 8]. Note that K(z, w) is a multivariable-generalization of the one-variable Szegö kernel. An orthonormal basis of  $H_n^2$  is given by  $\{(|\alpha|!/\alpha!)^{1/2}\zeta^{\alpha} : \alpha \in \mathbb{Z}_+^n\}$ , where we use the standard multi-index notation. Thus for functions  $f, g \in H_n^2$  with Taylor expansions

$$f(\zeta) = \sum_{\alpha \in \mathbf{Z}_+^n} c_\alpha \zeta^\alpha \text{ and } g(\zeta) = \sum_{\alpha \in \mathbf{Z}_+^n} d_\alpha \zeta^\alpha,$$

the inner product is given by

$$\langle f,g\rangle = \sum_{\alpha\in\mathbf{Z}_{+}^{n}} \frac{\alpha!}{|\alpha|!} c_{\alpha}\overline{d_{\alpha}}.$$

With the identification of each variable  $\zeta_i$  with each multiplication operator  $M_{\zeta_i}$ ,  $H_n^2$  is a free Hilbert module over the polynomial ring  $\mathbf{C}[\zeta_1, \ldots, \zeta_n]$ . See [2].

An analytic function f on **B** is said to be a *multiplier* of the Drury-Arveson space  $H_n^2$  if  $fg \in H_n^2$ for every  $g \in H_n^2$ . Throughout the paper, we denote the collection of multipliers of  $H_n^2$  by  $\mathcal{M}$ . For each  $f \in \mathcal{M}$ , the multiplication operator  $M_f$  defined by  $M_fg = fg$  is necessarily bounded on  $H_n^2$ [2], and the operator norm  $||M_f||$  is also called the multiplier norm of f.

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Multipliers are an important part of operator theory on  $H_n^2$ . For example, if  $\mathcal{E}$  is a closed linear subspace of  $H_n^2$  which is invariant under  $M_{\zeta_1}, \ldots, M_{\zeta_n}$ , then there exist  $\{f_1, \ldots, f_k, \ldots\} \subset \mathcal{M}$  such that the operator

$$M_{f_1}M_{f_1}^* + \dots + M_{f_k}M_{f_k}^* + \dots$$

is the orthogonal projection from  $H_n^2$  onto  $\mathcal{E}$  (see page 191 in [3]).

Among the recent results related to multipliers, we would like to mention the following developments. Interpolation problems for multipliers and model theory related to the Drury-Arveson space have been intensely studied over the past decade or so [4, 5, 10, 12]. Recently, Arcozzi, Rochberg and Sawyer gave a characterization of the multipliers in terms of Carleson measures for  $H_n^2$  [1]. In [7], Costea, Sawyer and Wick proved a corona theorem for  $\mathcal{M}$ . More recently, we showed in [9] that for each  $f \in \mathcal{M}$  and each  $1 \leq i \leq n$ , the commutator  $[M_f^*, M_{\zeta_i}]$  belongs to the Schatten class  $\mathcal{C}_p$ , p > 2n.

Of particular relevance to this paper is the fact that under the assumption  $n \ge 2$ ,  $\mathcal{M}$  is strictly smaller than  $H^{\infty}$  [2]. Moreover, Arveson showed in [2] that, even for polynomials q,  $||q||_{\infty}$  in general does not dominate the operator norm of  $M_q$  on  $H_n^2$ . This naturally brings up the question, what about the essential norm of  $M_f$  on  $H_n^2$  for general  $f \in \mathcal{M}$ ?

Recall that the *essential norm* of a bounded operator A on a Hilbert space  $\mathcal{H}$  is

$$||A||_{\mathcal{Q}} = \inf\{||A + K|| : K \in \mathcal{K}(\mathcal{H})\},\$$

where  $\mathcal{K}(\mathcal{H})$  is the collection of compact operators on  $\mathcal{H}$ . Alternately,  $||A||_{\mathcal{Q}} = ||\pi(A)||$ , where  $\pi$  denotes the quotient homomorphism from  $\mathcal{B}(\mathcal{H})$  to the Calkin algebra  $\mathcal{Q} = \mathcal{B}(\mathcal{H})/\mathcal{K}(\mathcal{H})$ .

Let  $\mathcal{T}_n$  be the  $C^*$ -algebra generated by  $M_{\zeta_1}, \dots, M_{\zeta_n}$  on  $H_n^2$ , which was introduced by Arveson in [2]. In more ways than one,  $\mathcal{T}_n$  is the analogue of the  $C^*$ -algebra generated by Toeplitz operators with *continuous* symbols. Indeed Arveson showed that there is an exact sequence

$$\{0\} \to \mathcal{K}(H_n^2) \to \mathcal{T}_n \xrightarrow{\tau} C(S) \to \{0\},\tag{1.1}$$

where the homomorphism  $\tau$  is an extension of the map

$$\tau(M_{\zeta_i}) = \zeta_j$$

j = 1, ..., n, and  $S = \{z \in \mathbb{C}^n : |z| = 1\}$ . It follows that if q is a polynomial, then

$$\|M_q\|_{\mathcal{Q}} = \|q\|_{\infty}.$$
 (1.2)

This equality can also be understood from a slightly different point of view. Indeed by Proposition 5.3 in [2], for each polynomial q, the operator  $M_q$  is essentially normal, i.e.,  $[M_q^*, M_q]$  is compact. On the other hand, by Proposition 2.12 in [2], if q is a polynomial, then the spectral radius of  $M_q$  equals  $||q||_{\infty}$ . Since the norm and the spectral radius of any normal element in any  $C^*$ -algebra coincide, it follows that  $||M_q||_{\mathcal{Q}} \leq ||q||_{\infty}$  whenever q is a polynomial. The reverse inequality,  $||M_q||_{\mathcal{Q}} \geq ||q||_{\infty}$ , is easy once  $M_q^*$  is applied to the normalized reproducing kernel of  $H_n^2$ .

Equality (1.2) is particularly interesting in view of the fact that, even for polynomials,  $||q||_{\infty}$  in general does not dominate the operator norm of  $M_q$ . The obvious question is, what happens if we consider a general  $f \in \mathcal{M}$ ?

We report that (1.2) in general fails if we consider multipliers which are not polynomials. More specifically, the main results of the paper are Theorems 1.1 and 1.2 below.

**Theorem 1.1.** There exists a sequence  $\{\psi_k\} \subset \mathcal{M}$  such that

$$\inf_{k\geq 1} \|M_{\psi_k}\|_{\mathcal{Q}} > 0 \qquad and \qquad \lim_{k\to\infty} \|\psi_k\|_{\infty} = 0.$$

This has implications for other essential properties of multipliers.

Recall that an operator T is said to be *hyponormal* if  $T^*T - TT^* \ge 0$ . It is well known that the norm of a hyponormal operator coincides with its spectral radius. As we mentioned earlier, by Proposition 2.12 in [2], if q is a polynomial, then the spectral radius of  $M_q$  equals  $||q||_{\infty}$ . Therefore if q is a polynomial such that  $||M_q|| > ||q||_{\infty}$ , then  $M_q$  is not hyponormal. Thus there are plenty of multipliers  $f \in \mathcal{M}$  for which  $M_f$  fails to be hyponormal on  $H_n^2$ . This is one phenomenon that sets the Drury-Arveson space apart from the Hardy space and the Bergman space. We will show that this phenomenon persists under compact perturbation.

**Definition.** An operator T is said to be *essentially hyponormal* if there is a compact self-adjoint operator K such that

$$T^*T - TT^* + K \ge 0.$$

Obviously, T is essentially hyponormal if and only if  $\pi(T)$  is a hyponormal element in the Calkin algebra  $\mathcal{Q}$ , i.e.,  $\pi(T^*)\pi(T) - \pi(T)\pi(T^*) \ge 0$ .

**Theorem 1.2.** There exists a  $\psi \in \mathcal{M}$  such that the multiplication operator  $M_{\psi}$  on  $H_n^2$  is not essentially hyponormal.

Having introduced our results, the rest of this short paper consists of their proofs.

### 2. Estimates for Certain Multipliers

The proof of Theorem 1.1 involves Möbius transform. For each  $z \in \mathbf{B} \setminus \{0\}$ , let

$$\varphi_z(\zeta) = \frac{1}{1 - \langle \zeta, z \rangle} \left\{ z - \frac{\langle \zeta, z \rangle}{|z|^2} z - (1 - |z|^2)^{1/2} \left( \zeta - \frac{\langle \zeta, z \rangle}{|z|^2} z \right) \right\}.$$
(2.1)

Then  $\varphi_z$  is an involution, i.e.,  $\varphi_z \circ \varphi_z = \text{id}$  [13,Theorem 2.2.2]. Recall that the normalized reproducing kernel for  $H_n^2$  is given by

1 10

$$k_z(\zeta) = \frac{(1-|z|^2)^{1/2}}{1-\langle \zeta, z \rangle}, \quad z, \zeta \in \mathbf{B}.$$
 (2.2)

For each  $z \in \mathbf{B} \setminus \{0\}$ , define the operator  $U_z$  by the formula

$$(U_z g)(\zeta) = g(\varphi_z(\zeta))k_z(\zeta), \quad g \in H_n^2.$$
(2.3)

It follows easily from Theorem 2.2.2 in [13] that if  $z \in \mathbf{B} \setminus \{0\}$  and  $x, y \in \mathbf{B}$ , then

$$\langle U_z k_x, U_z k_y \rangle = \frac{(1 - |x|^2)^{1/2} (1 - |y|^2)^{1/2}}{1 - \langle y, x \rangle} = \langle k_x, k_y \rangle.$$

4

Hence each  $U_z$  is a unitary operator on  $H_n^2$ . Moreover, we have

$$U_z M_f U_z^* = M_{f \circ \varphi_z} \tag{2.4}$$

for all  $z \in \mathbf{B} \setminus \{0\}$  and  $f \in \mathcal{M}$ .

For each  $j \in \mathbf{N}$ , let  $E_j$  be the linear span of  $\{\zeta^{\alpha} : |\alpha| \leq j\}$  in  $H_n^2$ , and let  $P_j : H_n^2 \to E_j$  be the orthogonal projection. Moreover, denote

$$Q_j = 1 - P_j$$

Obviously, we have the strong convergence  $Q_j \to 0$  as  $j \to \infty$ .

**Lemma 2.1.** For each  $j \in \mathbf{N}$ , there is a constant  $1 \leq C_j < \infty$  such that

$$\limsup_{|z|\uparrow 1} \|M_{f \circ \varphi_z} P_j\| \le C_j \|M_f\|_{\mathcal{Q}}$$

for every  $f \in \mathcal{M}$ .

*Proof.* For each  $j \in \mathbf{N}$ , since dim $(E_j) < \infty$ , any two norms on  $E_j$  are equivalent. Since  $E_j$  consists of polynomials, we have  $||M_g|| < \infty$  for each  $g \in E_j$ . Hence there is a  $C_j$  such that

$$\|M_g\| \le C_j \|g\|_{H^2_n} \tag{2.5}$$

for every  $g \in E_j$ . Now let  $f \in \mathcal{M}$ . Using the unitary  $U_z$ , we have  $||f \circ \varphi_z||_{H_n^2} = ||U_z(f \circ \varphi_z)||_{H_n^2} = ||fk_z||_{H_n^2}$ . Since  $k_z \to 0$  weakly as  $|z| \uparrow 1$ , we have

$$\limsup_{|z|\uparrow 1} \|f \circ \varphi_z\|_{H^2_n} = \limsup_{|z|\uparrow 1} \|fk_z\|_{H^2_n} \le \limsup_{|z|\uparrow 1} \|(M_f + K)k_z\|_{H^2_n}$$

for every compact operator K. Consequently,

$$\limsup_{|z|\uparrow 1} \|f \circ \varphi_z\|_{H^2_n} \le \|M_f\|_{\mathcal{Q}}.$$
(2.6)

Now if  $g \in E_j$ , then, using (2.5), we have

$$\|M_{f \circ \varphi_z} g\|_{H^2_n} = \|M_g(f \circ \varphi_z)\|_{H^2_n} \le \|M_g\| \|f \circ \varphi_z\|_{H^2_n} \le C_j \|f \circ \varphi_z\|_{H^2_n} \|g\|_{H^2_n}.$$
  
Hence  $\|M_{f \circ \varphi_z} P_j\| \le C_j \|f \circ \varphi_z\|_{H^2_n}$ . Combining this with (2.6), the lemma follows.

The next lemma is so elementary that its proof will be omitted.

**Lemma 2.2.** For each bounded operator A on  $H_n^2$ , we have

$$\limsup_{j \to \infty} \|Q_j A\| \le \|A\|_{\mathcal{Q}} \quad and \quad \limsup_{j \to \infty} \|AQ_j\| \le \|A\|_{\mathcal{Q}}$$

Proof of Theorem 1.1. By Theorem 3.3 in [2], there is a sequence of polynomials  $\{p_i\}$  such that  $\|M_{p_i}\| = 1$  (2.7)

for every i and

$$\lim_{i \to \infty} \|p_i\|_{\infty} = 0. \tag{2.8}$$

We will find a sequence of natural numbers  $\{i(j)\}_{j=1}^{\infty}$  and a sequence  $\{z_j\} \subset \mathbf{B} \setminus \{0\}$  such that the desired multipliers  $\{\psi_k\}$  will have the form

$$\psi_k = \sum_{j=k}^{\infty} p_{i(j)} \circ \varphi_{z_j}, \qquad (2.9)$$

 $k \in \mathbf{N}$ . To do this, we note that (2.8) enables us to inductively select an ascending sequence of natural numbers

$$\ell(1) < \ell(2) < \dots < \ell(m) < \dots$$

such that

$$C_m \|p_{\ell(m)}\|_{\infty} \le \frac{1}{2^m}$$
 (2.10)

for each  $m \in \mathbf{N}$ , where  $C_m$  is the constant provided by Lemma 2.1. Since each  $p_i$  is a polynomial, by (1.2) this implies

$$C_m \| M_{p_{\ell(m)}} \|_{\mathcal{Q}} \le \frac{1}{2^m}.$$
 (2.11)

By (2.11) and Lemma 2.1, for each  $m \in \mathbf{N}$  there is a  $w_m \in \mathbf{B} \setminus \{0\}$  such that

$$\|M_{p_{\ell(m)}\circ\varphi_{w_m}}P_m\| \le \frac{2}{2^m}.$$
(2.12)

It follows from Lemma 2.2 that for each  $m \in \mathbf{N}$  there is a natural number r(m) > m such that

$$\|M_{p_{\ell(m)}\circ\varphi_{w_m}}Q_{r(m)}\| \le 2\|M_{p_{\ell(m)}\circ\varphi_{w_m}}\|_{\mathcal{Q}} = 2\|M_{p_{\ell(m)}}\|_{\mathcal{Q}},$$

where the = is a consequence of (2.4). By (2.11) and the fact that  $C_m \ge 1$ , we have

$$\|M_{p_{\ell(m)}\circ\varphi_{w_m}}Q_{r(m)}\| \le \frac{2}{2^m}.$$
 (2.13)

By a similar argument, for each  $m \in \mathbf{N}$ , there is an s(m) > m such that

$$\|Q_{s(m)}M_{p_{\ell(m)}\circ\varphi_{w_m}}\| \le \frac{2}{2^m}.$$
(2.14)

Note that for each m, the subspace  $Q_m H_n^2$  is invariant under  $\{M_f : f \in \mathcal{M}\}$ . That is,

$$M_f g = Q_m M_f g$$
 if  $g \in Q_m H_n^2$  and  $f \in \mathcal{M}$ .

Using this fact and the relation  $P_i = 1 - Q_i$ , it follows from simple algebra that

$$M_{p_{\ell(m)}\circ\varphi_{w_m}} = M_{p_{\ell(m)}\circ\varphi_{w_m}}P_m + Q_{s(m)}M_{p_{\ell(m)}\circ\varphi_{w_m}}(Q_m - Q_{r(m)}) + M_{p_{\ell(m)}\circ\varphi_{w_m}}Q_{r(m)} + (P_{s(m)} - P_m)M_{p_{\ell(m)}\circ\varphi_{w_m}}(P_{r(m)} - P_m).$$

Thus if we set

$$Y_m = M_{p_{\ell(m)} \circ \varphi_{w_m}} P_m + Q_{s(m)} M_{p_{\ell(m)} \circ \varphi_{w_m}} (Q_m - Q_{r(m)}) + M_{p_{\ell(m)} \circ \varphi_{w_m}} Q_{r(m)},$$

then

$$M_{p_{\ell(m)}\circ\varphi_{w_m}} = Y_m + (P_{s(m)} - P_m)M_{p_{\ell(m)}\circ\varphi_{w_m}}(P_{r(m)} - P_m),$$
(2.15)

 $m \in \mathbf{N}$ . Note that by (2.12), (2.13) and (2.14), we have

$$\|Y_m\| \le \frac{6}{2^m}.$$
 (2.16)

Set  $m_1 = 5$ . We then inductively select a sequence of integers  $m_1 < m_2 < \cdots < m_j < \cdots$  such that the inequality

$$m_{j+1} > \max\{r(m_j), s(m_j)\}$$
(2.17)

holds for every  $j \ge 1$ . Now set

$$i(j) = \ell(m_j)$$
 and  $z_j = w_{m_j}$  (2.18)

for each  $j \in \mathbf{N}$ . With this notation, from (2.15) we obtain

$$M_{p_{i(j)}\circ\varphi_{z_j}} = Y_{m_j} + (P_{s(m_j)} - P_{m_j})M_{p_{i(j)}\circ\varphi_{z_j}}(P_{r(m_j)} - P_{m_j}).$$
(2.19)

With i(j) and  $z_j$  determined as above, we now define  $\psi_k$  by (2.9) for each  $k \ge 1$ .

Next we show that the sequence  $\{\psi_k\}$  has the desired properties. First we need to show that  $\psi_k \in \mathcal{M}$  for each k. By the relations s(m) > m, r(m) > m and (2.17), we have

$$P_{s(m_j)} - P_{m_j} \perp P_{s(m_{j'})} - P_{m_{j'}}$$
 and  $P_{r(m_j)} - P_{m_j} \perp P_{r(m_{j'})} - P_{m_{j'}}$  (2.20)

whenever j < j'. Recall that  $||M_{p_i \circ \varphi_z}|| = ||M_{p_i}||$  by (2.4) and that  $||M_{p_i}|| = 1$  by choice. Combining these facts with (2.20), we see that the norm of the operator

$$B_{k} = \sum_{j=k}^{\infty} (P_{s(m_{j})} - P_{m_{j}}) M_{p_{i(j)} \circ \varphi_{z_{j}}} (P_{r(m_{j})} - P_{m_{j}})$$

does not exceed 1. By (2.16) and the choice that  $m_1 = 5$ , the norm of the operator

$$A_k = \sum_{j=k}^{\infty} Y_{m_j}$$

does not exceed 1/2. By (2.9) and (2.19),  $M_{\psi_k} = A_k + B_k$ . Thus the norm of the operator  $M_{\psi_k}$  is at most 3/2. That is,  $\psi_k \in \mathcal{M}$  for each  $k \in \mathbb{N}$ . Applying (2.18) and (2.10), we have

$$\|\psi_k\|_{\infty} \le \sum_{j=k}^{\infty} \|p_{i(j)} \circ \varphi_{z_j}\|_{\infty} = \sum_{j=k}^{\infty} \|p_{i(j)}\|_{\infty} \le \sum_{j=k}^{\infty} \frac{1}{2^{m_j}}.$$

Hence

$$\lim_{k \to \infty} \|\psi_k\|_{\infty} = 0$$

What remains for the proof is the inequality

$$\inf_{k\geq 1} \|M_{\psi_k}\|_{\mathcal{Q}} > 0.$$

Since  $M_{\psi_k} = A_k + B_k$  and  $||A_k|| \le 1/2$ , it suffices to show that

$$\inf_{k\geq 1} \|B_k\|_{\mathcal{Q}} \geq 1$$

Since  $||M_{p_{i(j)} \circ \varphi_{z_j}}|| = ||M_{p_{i(j)}}|| = 1$  and  $\lim_{j \to \infty} ||Y_{m_j}|| = 0$ , by (2.19) we have

$$\lim_{j \to \infty} \| (P_{s(m_j)} - P_{m_j}) M_{p_{i(j)} \circ \varphi_{z_j}} (P_{r(m_j)} - P_{m_j}) \| = 1.$$

Therefore there exists a sequence of unit vectors  $\{g_j\}$  such that

$$g_j \in (P_{r(m_j)} - P_{m_j})H_n^2$$
(2.21)

for every j and

$$\lim_{j \to \infty} \| (P_{s(m_j)} - P_{m_j}) M_{p_{i(j)} \circ \varphi_{z_j}} (P_{r(m_j)} - P_{m_j}) g_j \|_{H^2_n} = 1.$$
(2.22)

By (2.21) and (2.20),  $g_j \to 0$  weakly as  $j \to \infty$ . By this weak convergence and (2.22), we have  $\lim_{i \to \infty} \|(B_k + K)g_j\|_{H^2_n} = 1$ 

for every compact operator K. This implies  $||B_k||_Q \ge 1$ , completing the proof of Theorem 1.1.

#### 3. Spectral radius

To prove Theorem 1.2, we begin with a simple fact about multipliers.

**Lemma 3.1.** Let  $f \in \mathcal{M}$ . If there is a c > 0 such that  $|f(z)| \ge c$  for every  $z \in \mathbf{B}$ , then 1/f is also a multiplier of  $H_n^2$ .

*Proof.* This certainly follows from the recently proved corona theorem for  $\mathcal{M}$  [7]. But it also follows from an earlier, much simpler result due to Chen [6]. By Theorem 2 in [6], there are constants  $0 < A \leq B < \infty$  such that  $A ||g||_{H_n^2} \leq ||g||_{\#} \leq B ||g||_{H_n^2}$  for every  $g \in H_n^2$ , where

$$||g||_{\#}^{2} = |g(0)|^{2} + \iint \frac{|g(z) - g(w)|^{2}}{|1 - \langle z, w \rangle|^{2n+1}} dv(z) dv(w).$$
(3.1)

Let  $f \in \mathcal{M}$  be such that  $|f| \ge c > 0$  on **B**. Then for each  $g \in H_n^2$ ,

$$\frac{g(z)}{f(z)} - \frac{g(w)}{f(w)} = \frac{g(z) - g(w)}{f(z)} + \frac{g(z) - g(w)}{f(w)} + \frac{f(w)g(w) - f(z)g(z)}{f(z)f(w)},$$
(3.2)

 $z, w \in \mathbf{B}$ . From (3.1) and (3.2) we see that  $1/f \in \mathcal{M}$ .

From this lemma we immediately obtain

**Proposition 3.2.** For each  $f \in \mathcal{M}$ , the spectrum of the operator  $M_f$  on  $H_n^2$  is contained in the closure of  $\{f(z) : z \in \mathbf{B}\}$ . Consequently the spectral radius of  $M_f$  does not exceed  $||f||_{\infty}$ .

**Remark 1.** In the case where f has the property that there is a sequence of polynomials  $\{p_k\}$  such that  $\lim_{k\to\infty} ||M_f - M_{p_k}|| = 0$ , Proposition 3.2 was proved by Arveson. See Proposition 2.12 in [2].

**Remark 2.** It follows from (3.1) and (3.2) that if  $f \in \mathcal{M}$  and if  $\inf_{z \in \mathbf{B}} |f(z)| > 0$ , then

$$||M_{1/f}|| \le C(||1/f||_{\infty} + ||1/f||_{\infty}^{2} ||M_{f}||).$$

Surprisingly, Chen himself did not seem to notice this fact in [6].

**Remark 3.** The referee observes that an alternate proof for Lemma 3.1 is to use the Carlesonmeasure characterization for functions in  $\mathcal{M}$  [1]. In this approach, what replaces (3.2) is the formula for high-order derivatives of 1/f.

**Proposition 3.3.** Let  $f \in \mathcal{M}$ . If f has the property that  $||M_f||_{\mathcal{Q}} > ||f||_{\infty}$ , then the operator  $M_f$  on  $H_n^2$  is not essentially hyponormal.

Proof. Recall that we denote the quotient map from  $\mathcal{B}(H_n^2)$  to the Calkin algebra  $\mathcal{Q}$  by  $\pi$ . Let  $\Phi$  be the GNS representation of  $\mathcal{Q}$  on a Hilbert space  $\mathcal{H}$ . If  $M_f$  were essentially hyponormal, then  $\{\pi(M_f)\}^*\pi(M_f) - \pi(M_f)\{\pi(M_f)\}^* \geq 0$  in  $\mathcal{Q}$ . Consequently  $\Phi(\pi(M_f))$  would be a hyponormal operator on  $\mathcal{H}$ .

Write  $\operatorname{rad}(T)$  for the spectral radius of any operator T. It is well known that if T is a hyponormal operator, then  $||T|| = \operatorname{rad}(T)$ . See Problem 205 in [11]. Thus we would have

$$\|\Phi(\pi(M_f))\| = \operatorname{rad}(\Phi(\pi(M_f))).$$

Since  $\Phi \circ \pi$  is a C<sup>\*</sup>-algebraic homomorphism, the spectrum of  $\Phi(\pi(M_f))$  is contained in the spectrum of  $M_f$ . Therefore  $\operatorname{rad}(\Phi(\pi(M_f))) \leq \operatorname{rad}(M_f)$ . Applying Proposition 3.2, we have

$$\operatorname{rad}(\Phi(\pi(M_f))) \le \operatorname{rad}(M_f) \le ||f||_{\infty}.$$

On the other hand, since  $\Phi$  is a faithful representation, we have

$$\|\Phi(\pi(M_f))\| = \|\pi(M_f)\| = \|M_f\|_{\mathcal{Q}}$$

These three displayed lines together contradict the assumption  $||M_f||_{\mathcal{Q}} > ||f||_{\infty}$ .

Proof of Theorem 1.2. By Theorem 1.1, there is a  $\psi \in \mathcal{M}$  with  $||M_{\psi}||_{\mathcal{Q}} = c$  for some c > 0 while  $||\psi||_{\infty} < c/2$ . That is, we have a  $\psi \in \mathcal{M}$  with  $||M_{\psi}||_{\mathcal{Q}} > ||\psi||_{\infty}$ . For such a  $\psi$ , Proposition 3.3 tells us that  $M_{\psi}$  is not essentially hyponormal on  $H_n^2$ .  $\Box$ 

## References

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