# Difference Galois groups of linear differential equations 

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hence $G=\mathrm{GL}_{1}$.

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$\Rightarrow y, \sigma(y)$ and $\sigma^{2}(y)$ are algebraically dependent over $F$

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and

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G(S)=\left\{c \in S^{\times} \mid \sigma^{2}(c) \sigma(c)^{-2} c=1\right\}
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holds for any $\sigma$-algebra $S$ over $\mathbb{C}$, so $G(S) \subsetneq \mathrm{GL}_{1}(S)$ for "sufficient general" $S$ and thus $G \lesseqgtr \mathrm{GL}_{1}$.

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Fact: $G$ is given by polynomial equations over $\mathbb{C}$ in the matrix entries and their images under $\sigma, \sigma^{2}, \ldots$
i.e., $G$ is a (linear) difference algebraic group over $\mathbb{C}$.

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- in particular, if $\mathcal{G}$ is the finite cyclic group of order $d$ over $\mathbb{C}$ we can associate two difference algebraic groups $G_{1}, G_{2}$ to it: $G_{1}(S)=\mathcal{G}(S)=\left\{g \in S \mid s^{d}=1\right\}$ and $G_{2}(S)=\left\{g \in S \mid s^{d}=1\right.$ and $\left.\sigma(s)=s\right\}$ for all $\mathbb{C}$ - $\sigma$-algebras $S$


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- Subgroups of the multiplicative group $\mathrm{GL}_{1}=\mathbb{G}_{m}$ :
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- Unitary group: $G(S)=\left\{g \in \mathrm{GL}_{n}(S) \mid \sigma(g)^{\operatorname{tr}} g=1\right\}$ for all $\mathbb{C}$ - $\sigma$-algebras $S$


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- no constant points of finite cyclic groups!

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G(\mathbb{C}) & =\{\gamma: F(y, \sigma(y), \ldots) \rightarrow F(y, \sigma(y), \ldots) F \text {-autom. } \mid \gamma \text { commutes with } \delta, \sigma\} \\
& =\left\{\gamma: F(y, \sigma(y), \ldots) \rightarrow F(y, \sigma(y), \ldots), y \mapsto c \cdot y \mid c \in \mathbb{C}^{\times}\right\}
\end{aligned}
$$

## The multiplicative group

Base field $F=\mathbb{C}(x), \quad \delta=\frac{\delta}{\delta x}$

$$
\begin{equation*}
\delta(y)=y \tag{1}
\end{equation*}
$$

Solution $y=e^{x}$ satisfies $\sigma(y)=e y$,
The $\sigma$-Galois group is the constant subgroup of $\mathbb{G}_{m}$ :

$$
\begin{aligned}
G(\mathbb{C}) & =\left\{\gamma: F(y) \rightarrow F(y) \text { autom. }|\gamma|_{F}=\mathrm{id}, \gamma \text { commutes with } \delta, \sigma\right\} \\
& =\left\{\gamma: F(y) \rightarrow F(y), y \mapsto c \cdot y \mid c \in \mathbb{C}^{\times}, \sigma(c)=c\right\}
\end{aligned}
$$

$$
\begin{equation*}
\delta(y)=-\frac{1}{x^{2}} y \tag{2}
\end{equation*}
$$

Solution $y=e^{\frac{1}{x}}$ with $\sigma(y)=e^{\frac{1}{x+1}}, \sigma^{2}(y)=e^{\frac{1}{x+2}}, \ldots$ all algebraically independent
The $\sigma$-Galois group equals $\mathbb{G}_{m}$ :

$$
\begin{aligned}
G(\mathbb{C}) & =\{\gamma: F(y, \sigma(y), \ldots) \rightarrow F(y, \sigma(y), \ldots) F \text {-autom. } \mid \gamma \text { commutes with } \delta, \sigma\} \\
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& \cong \mathbb{G}_{m}(\mathbb{C})
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Base field $F=\mathbb{C}(x), \quad \delta=\frac{\delta}{\delta x}$

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Solution $y=\sqrt{x}$

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\end{aligned}
$$

## The inverse problem

Inverse Problem: Which difference algebraic groups over $\mathbb{C}$ are difference Galois groups of some differential equation over $\mathbb{C}$ ?

## Examples:

- the subgroup of $\mathbb{G}_{m}$ defined by the equation $\sigma^{2}(x) \sigma(x)^{-2} x=1$.
- constant subgroup of $\mathbb{G}_{m}$
- $\mathbb{G}_{m}, \mathbb{G}_{a}$ and finite cyclic groups interpreted as difference algebraic groups
- but no proper, non-trivial subgroup of $\mathbb{G}_{a}$ !
- no constant points of finite cyclic groups!

The additive group

Base field $F=\mathbb{C}(x), \quad \delta=\frac{\delta}{\delta x}$

$$
\begin{equation*}
\delta(y)=\frac{1}{x} \tag{4}
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Corollary: Let $\mathcal{G}$ be a unipotent linear algebraic group over $\mathbb{C}$ and let $G$ be its constant subgroup. Then $G$ is not a $\sigma$-Galois group over $\mathbb{C}(x)$.

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Claim: No non-trivial proper subgroup of $\mathbb{G}_{a}$ is a $\sigma$-Galois group over $\mathbb{C}(x)$

Sketch of proof: Let $E / \mathbb{C}(x)$ be a $\sigma$-Picard-Vessiot extension with group $G \lesseqgtr \mathbb{G}_{a}$.

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Step 2: There exists an $n \in \mathbb{N}$ such that $y, \sigma(y), \ldots, \sigma^{n}(y)$ are algebraically dependent.

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$$
\sum_{l=0}^{n} \sum_{j=1}^{r} \frac{c_{l} \alpha_{j}}{x+l+\beta_{j}}
$$

has an antiderivative in $\mathbb{C}(x)$ and is thus zero, but the terms do not cancel unless $a=0$.

## A necessary criterion

## Theorem

If $G$ is a $\sigma$-Galois group over $\mathbb{C}(x)$ with derivation $\delta=\frac{d}{d x}$ and endomorphism $\sigma$ given by $\sigma(f(x))=f(x+1)$, then $G$ is $\sigma$-reduced and $\sigma$-connected.

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- Linear algebraic groups (interpreted as difference-algebraic groups) are always $\sigma$-connected.


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- A subgroup of $\mathbb{G}_{a}$ given by the equation $a_{0} x+a_{1} \sigma(x)+\cdots+a_{r} \sigma^{r}(x)=0$ is $\sigma$-reduced if and only if $a_{0} \neq 0$.


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The criterion in the theorem above is far from sufficient!

## Main result

Theorem (B., Wibmer)
Let $\mathcal{G}$ be a linear algebraic group over $\mathbb{C}$ and interpret it as a difference-algebraic group $G$ over $\mathbb{C}$. Then there exists a $\sigma$-Picard-Vessiot extension over $\mathbb{C}(x)$ with $\sigma$-Galois group $G$.

## Algebraic Patching

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Fact: Let $U_{1}, U_{2}$ be open, connected proper subsets of the Riemann sphere $\mathcal{X}=\mathbb{P}_{\mathbb{C}}^{1}$ such that

- $U_{0}:=U_{1} \cap U_{2}$ is connected and
- $U_{1} \cup U_{2}=\mathcal{X}$.

Let $F_{i}$ be the field of meromorphic functions on $U_{i}$. Then $\left(F, F_{1}, F_{2}, F_{0}\right)$ is a diamond with the factorization property, where $F=\mathbb{C}(x)$ is the field of meromorphic functions on $\mathcal{X}$.

Algebraic Patching

## Example:

$$
\begin{aligned}
U_{1} & =\left\{x \in \mathbb{P}_{\mathbb{C}}^{1}| | x \mid<2\right\} \\
U_{2} & =\left\{x \in \mathbb{P}_{\mathbb{C}}^{1}| | x \mid>1\right\} \\
U_{0} & =\left\{x \in \mathbb{P}_{\mathbb{C}}^{1}|1<|x|<2\}\right.
\end{aligned}
$$



Patching $\sigma$-Picard Vessiot extensions

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Then there exists a $\sigma$-Picard-Vessiot extension $E / F$ with $\sigma$-Galois group $G$ and $E \subseteq F_{0}$.

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## Sketch of proof:



First step: Choose $n \in \mathbb{N}$ with $G \leq \mathrm{GL}_{n}$ and show that there exist fundamental solution matrices $Y_{1} \in \mathrm{GL}_{n}\left(E_{1}\right), Y_{2} \in \mathrm{GL}_{n}\left(E_{2}\right)$ (i.e., adjust representations).

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Second step: Consider $Y_{0}=Y_{1} Y_{2}^{-1} \in \mathrm{GL}_{n}\left(F_{0}\right)$. The factorization property yields matrices $B_{1} \in \mathrm{GL}_{n}\left(F_{1}\right), B_{2} \in \mathrm{GL}_{n}\left(F_{2}\right)$ with $Y_{0}=B_{1} B_{2}^{-1}$.

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## Patching $\sigma$-Picard Vessiot extensions

Let $G=\left\langle H_{1}, H_{2}\right\rangle$ be a $\sigma$-algebraic group with generating (closed) subgroups $H_{1}$ and $H_{2}$. Consider a diamond with the factorization property ( $F, F_{1}, F_{2}, F_{0}$ ) such that


- all fields are equipped compatibly with commuting derivations $\delta$ and endomorphisms $\sigma$ such that $F_{0}^{\delta}=F^{\delta}$
- there exist $\sigma$-Picard-Vessiot extensions $E_{1} / F_{1}$ and $E_{2} / F_{2}$ with $\sigma$-Galois groups isomorphic to $H_{1}$ and $H_{2}$, resp.and
- $E_{1} \subseteq F_{0}$ and $E_{2} \subseteq F_{0}$.

Then there exists a $\sigma$-Picard-Vessiot extension $E / F$ with $\sigma$-Galois group $G$ and $E \subseteq F_{0}$.

## Sketch of proof:



First step: Choose $n \in \mathbb{N}$ with $G \leq \mathrm{GL}_{n}$ and show that there exist fundamental solution matrices $Y_{1} \in \mathrm{GL}_{n}\left(E_{1}\right), Y_{2} \in \mathrm{GL}_{n}\left(E_{2}\right)$ (i.e., adjust representations).
Second step: Consider $Y_{0}=Y_{1} Y_{2}^{-1} \in \mathrm{GL}_{n}\left(F_{0}\right)$. The factorization property yields matrices $B_{1} \in \mathrm{GL}_{n}\left(F_{1}\right), B_{2} \in \mathrm{GL}_{n}\left(F_{2}\right)$ with $Y_{0}=B_{1} B_{2}^{-1}$. The intersection property implies that $Y:=B_{1} Y_{1}=B_{2} Y_{2}$ solves a differential equation over $F$.
Third step: Show that the $\sigma$-Picard-Vessiot extension $E=F\left(Y, \sigma(Y), \sigma^{2}(Y), \ldots\right)$ over $F$ has $\sigma$-Galois group $G$.

Generating subgroups

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Let $\mathcal{G}$ be a linear algebraic group over $\mathbb{C}$. Then there exist closed subgroups $\mathcal{H}_{1}, \ldots, \mathcal{H}_{r}$ of $\mathcal{G}$ such that

- each $\mathcal{H}_{i}$ is isomorphic to either $\mathbb{G}_{a}$ or $\mathbb{G}_{m}$ or a finite cyclic group and
- $\mathcal{G}$ is generated by $\mathcal{H}_{1}, \ldots, \mathcal{H}_{r}$ in the following strong sense: the multiplication map $\mathcal{H}_{1} \times \cdots \times \mathcal{H}_{r} \rightarrow \mathcal{G}$ is surjective


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Now interpret $\mathcal{G}$ as a difference algebraic group $G$ and similarly $\mathcal{H}_{1}, \ldots, \mathcal{H}_{r}$ as difference algebraic groups $H_{1}, \ldots, H_{r}$.

Corollary: $G$ is generated as a difference algebraic group by $H_{1}, \ldots, H_{r}$.

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- Choose suitable diamond of fields $\left(F, F_{1}, F_{2}, F_{0}\right)$ with the factorization property.
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Continue inductively.

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We need to find diamonds ( $F, F_{1}, F_{2}, F_{0}$ ) with the factorization property such that all fields are equipped compatibly with extensions of $\delta=d / d x$ and $\sigma$ from $F=\mathbb{C}(x)$ to $F_{i}$ and $F_{i}^{\delta}=\mathbb{C}$ for all $i$.

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Try to find $U_{1}, U_{2} \subsetneq \mathbb{P}_{\mathbb{C}}^{1}$ open, connected such that

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Example:

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& U_{1}=\{x \in \mathbb{C} \mid \operatorname{Im}(x)>0\} \\
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But these sets don't cover $\mathbb{P}_{\mathbb{C}}^{1}$ and we won't be able to find a connected, $\sigma$-invariant $\tilde{U}_{2}$ in the second step with $U_{0} \cup \tilde{U}_{2}=\mathbb{P}_{\mathbb{C}}^{1}$.

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Second case: $H_{1} \cong \mathbb{G}_{m}$. Define $E_{1}=F_{1}\left\langle\exp \left(\frac{1}{x-i}\right)\right\rangle \subseteq F_{0}$.

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First case: $H_{1} \cong \mathbb{G}_{a}$. Define $E_{1}=F_{1}\left\langle\log \left(\frac{1}{x-i}+1\right)\right\rangle \subseteq F_{0}$.
Use that $\left(\frac{1}{x-i}+1\right) \in(-\infty, 0] \cup\{\infty\} \Leftrightarrow(x-i) \in[-1,0) \cup\{0\}=[-1,0]$ hence $\log \left(\frac{1}{x-i}+1\right) \in M_{1}, \sigma(\log (\ldots)) \in M_{2}, \ldots$
Second case: $H_{1} \cong \mathbb{G}_{m}$. Define $E_{1}=F_{1}\left\langle\exp \left(\frac{1}{x-i}\right)\right\rangle \subseteq F_{0}$.
Third case: $H_{1}$ finite cyclic of order d. Define $E_{1}=F_{1}\left\langle\sqrt[d]{\frac{1}{x-i}+1}\right\rangle \subseteq F_{0}$.

## Building blocks

Let $F_{1}=\bigcup_{i \in \mathbb{N}} L_{i}$
$L_{i}=$ meromorphic functions on $V_{i}$


Let $F_{0}=\bigcup_{i \in \mathbb{N}} M_{i}$
$M_{i}=$ meromorphic functions on $V_{i} \cap W_{i}$




Building blocks: We need to construct a $\sigma$-Picard-Vessiot extension $E_{1} / F_{1}$ with $\sigma$-Galois group $H_{1}$ and $E_{1} \subseteq F_{0}$.
First case: $H_{1} \cong \mathbb{G}_{a}$. Define $E_{1}=F_{1}\left\langle\log \left(\frac{1}{x-i}+1\right)\right\rangle \subseteq F_{0}$.
Use that $\left(\frac{1}{x-i}+1\right) \in(-\infty, 0] \cup\{\infty\} \Leftrightarrow(x-i) \in[-1,0) \cup\{0\}=[-1,0]$ hence $\log \left(\frac{1}{x-i}+1\right) \in M_{1}, \sigma(\log (\ldots)) \in M_{2}, \ldots$
Second case: $H_{1} \cong \mathbb{G}_{m}$. Define $E_{1}=F_{1}\left\langle\exp \left(\frac{1}{x-i}\right)\right\rangle \subseteq F_{0}$.
Third case: $H_{1}$ finite cyclic of order d. Define $E_{1}=F_{1}\left\langle\sqrt[d]{\frac{1}{x-i}+1}\right\rangle \subseteq F_{0}$.

